

## UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR		/	ATTORNEY DOCKET NO.
09/243,568	02/03/99	SCHONROCK		IJ	BEIERSDORF-5
HM12/0317 T SPRUNG KRAMER SCHAEFER & BRISCOE 660 WHITE PLAINS ROAD 4TH FLOOR			$\neg$		EXAMINER
			·	MURRAY	, J
				ART UNIT	PAPER NUMBER
TARRYTOWN NY 10531-5144				1613	
				DATE MAILED:	03/17/99

Please find below and/or attached an Office communication concerning this application or proceeding.

**Commissioner of Patents and Trademarks** 

Application No. 09/243,568 Applicant(s)

Schonrock et al

Examiner

Office Action Summary

Joseph Murray

Group Art Unit 1613



Responsive to communication(s) filed on	·
☐ This action is <b>FINAL</b> .	
☐ Since this application is in condition for allowance exin accordance with the practice under Ex parte Quayl	cept for formal matters, prosecution as to the merits is closed le, 1935 C.D. 11; 453 O.G. 213.
is longer, from the mailing date of this communication.	is set to expire3 month(s), or thirty days, whichever Failure to respond within the period for response will cause the Extensions of time may be obtained under the provisions of
Disposition of Claims	
X Claim(s) 1-9	is/are pending in the application.
Of the above, claim(s)	is/are withdrawn from consideration.
Claim(s)	
X Claim(s) 1-9	
	is/are objected to.
	are subject to restriction or election requirement.
☐ See the attached Notice of Draftsperson's Patent ☐ The drawing(s) filed on is/ar ☐ The proposed drawing correction, filed on ☐ The specification is objected to by the Examiner. ☐ The oath or declaration is objected to by the Exam  Priority under 35 U.S.C. § 119 ☐ Acknowledgement is made of a claim for foreign	e objected to by the Examiner.  is approved disapproved.  miner.  priority under 35 U.S.C. § 119(a)-(d).
☐ All ☐ Some* ☒ None of the CERTIFIED of	copies of the priority documents have been
	erial Number)
received in this national stage application for the contraction of the	rom the International Bureau (PCT Rule 17.2(a)).
Acknowledgement is made of a claim for domest	ic priority under 35 U.S.C. § 119(e).
Attachment(s)  X Notice of References Cited, PTO-892  Information Disclosure Statement(s), PTO-1449, Interview Summary, PTO-413  Notice of Draftsperson's Patent Drawing Review,  Notice of Informal Patent Application, PTO-152	
SEE OFFICE ACTI	ON ON THE FOLLOWING PAGES

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Claims 1-9 are pending in the instant application.

## Claim Rejections - 35 USC § 112

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 1-9 are rejected under 35 U.S.C. 112, first paragraph, because the specification, while being enabling for using flavones, flavanones, and flavanoid compounds and ascorbic acid or ascorbyl compounds as antioxidants in cosmetic or dermatological compositions, does not reasonably provide enablement for using the flavone, flavanone or flavanoid compounds to prevent the oxidation of ascorbic acid or ascorbyl compounds. The specification does not enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to use the invention commensurate in scope with these claims. While the specification provides sufficient information as to the efficacy of the flavanoids and ascorbyl compounds for use as antioxidants in cosmetic or dermatological compositions it does not provide information as to how the flavanoids are to be used in preventing the ascorbyl compounds from oxidizing, i.e. since both compound classes are known antioxidants how is one going to preferentially prevent the other from being oxidized.

The following is a quotation of the second paragraph of 35 U.S.C. 112:

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The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claim 1 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

In claim 1 ln 1 and ln 2-3 the statements "at least one active ingredient chosen from..." is unclear. It is unclear how one active ingredient will preferentially prevent another active ingredient in a composition from oxidation. It is suggested that the second occurance of "at least one" be changed to --a second--.

Regarding claim 6, the phrases "such as", and "for example" renders the claim indefinite because they are unclear whether the limitations following the phrase are part of the claimed invention. See MPEP § 2173.05(d).

## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1-9 are rejected under 35 U.S.C. 103(a) as being unpatentable over JP 04099730 or JP 04099771.

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The instant application claims a method of use of flavones, flavanoes, and flavanoids for the protection of ascorbic acid or ascorbyl compounds from oxidation, where the compounds are in a cosmetic or dermatological composition.

The references, *supra*, both disclose the use flavanoid glycosides for the prevention of the browning of ascorbic acid, and that the combination are useful in cosmetic compositions, see abstract. However, the references do not disclose that the 'browning' is a result of oxidation, although it is well known in the art that oxidation of organic compounds results in a discoloration to yellow and brown. Thus, one of ordinary skill in the art would have been motivated to incorporate flavanoid compounds, given the cited references, in a cosmetic composition for preventing the oxidation of ascorbyl compounds. Therefor, it would have been obvious to one of ordinary skill in the art to incorporate flavanoid compounds, given the cited references, in a cosmetic composition for preventing the oxidation of ascorbyl compounds.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to examiner Joseph Murray whose telephone number is (703) 308-4540. The examiner can normally be reached from 7:30 to 4:00.

If attempts to reach the examiner are unsuccessful, the examiner's supervisor, Johann Richter, can be reached on (703) 308-4532. The fax phone number for this group is (703) 308-4556.

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Johann Richter
Supervising Patent Examiner
Group 1600

Joseph Murray

March 12, 1999